

SELF-ASSESSMENT TEST XIII

RISK MANAGEMENT

A fax-back continuing education program sponsored by the AIA Trust and the CNA/Victor O. Schinnerer and Company professional liability insurance program. These questions refer to both the 'Standard Form of Agreement Between Owner and Architect' and the "Standard Form of Architect's Services: Design and Contract Administration", which make up the 1997 edition of B141.

Risk management is the cornerstone of building a successful design practice in the construction industry. The AIA Trust, in partnership with CNA/Schinnerer through the sponsorship of the Small Firm Program, is pleased to provide AIA members with the opportunity to earn AIA learning units. Simply circle the answers to the following 10 risk management questions; return the form by fax to the AIA Trust (202) 626-7421, and earn one LU. You don't have to have all the right answers to get credit. After all, the learning objectives of this exercise are to:

- Learn what you don't know about the subject, in this case risk management,
- Use your corrected test and the follow-up materials and resources you will receive to increase your knowledge.

Perhaps the most valuable use for this exercise is to identify areas for more extensive study. When you fax the completed test to the AIA Trust, it will be corrected, and your results will be returned to you along with annotated answers to all the questions and related references. After you have read the material, the Trust will report your LU to the University of Oklahoma. Keeping this material in your files will assist you in planning your future continuing education schedule.

T F	1. The contractual duty of the Architect is to review the laws, codes, and regulations applicable to the Architect's services and to respond in the design to requirements imposed by governmental authorities having jurisdiction over the Project.
T F	2. Under B141-1997, if bids come in above the estimated cost of the elements of the project designed by the Architect, the Architect absorbs the cost of redesign and any costs to the client from the delay caused by redesign.
T F	3. B141-1997 has two provisions that limit the liability of the Architect: one limits the Architect's exposure to direct damages caused by the Architect's breach of the contract, and the other limits claims related to worker injuries.
T	4. The Architect has a contractual right as well as a professional duty to limit any response to a certificate requested by the Owner to a statement based on the

F	Architect's knowledge, services, or responsibilities within the scope of the Agreement.
T F	5. Both the Owner and the Architect have the right to terminate the Agreement simply for their own convenience without any cause or fault determined.
T F	6. The Architect is responsible to the Owner for developing an initial conceptual estimate of the Cost of the Work being designed and for updating that estimate throughout the design process.
T F	7. Under B141-1997 and upon the request of the Owner, the Architect's services continue for up to one year from the date of Substantial Completion of the Project.
T F	8. Any request by a Contractor for additional information about the Contract Documents must be submitted to the Architect on a form prepared or approved by the Architect that requires a detailed written statement indicating the nature of the clarification including the specific Drawings or Specifications.
T F	9. All Communications about the Contract Documents by the Owner to the Contractor or the subconsultants must be made through the Architect.
T F	10. B141-1997 states specific conditions and licensing arrangements for the exchange of information in electronic form between the Architect and Owner such as when the Architect provides CAD record documents to the Owner.

Should your firm desire insurance to act as a risk-transfer mechanism, simply call 800-SMALL FIRM (762-5534) for an immediate professional liability quotation from CNA/Schinnerer that is guaranteed for three years.

Name

Membership Number

Firm Name

Address

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